

# **Instructions for Obtaining a Precious Metal, Secondhand and Pawnbrokers Dealers License**

## **Application:**

Please be sure to fill out the application entirely. Incomplete applications will not be accepted and will delay the process. Make sure to have your application notarized as we do NOT have one here.

In addition, the following items are also required upon submission:

- A copy of your "Sales and Tax Use Permit" issued by the Connecticut Department of Revenue Services
- A copy of Driver's License or State of CT Issued Identification Card
- A signed copy of the FBI Privacy Act Statement
- A signed copy of the Noncriminal Justice Applicant's Privacy Rights
- Proof of bond from competent surety if required

## **Fees:**

### **Pawnbroker License**

Initial License- \$50.00  
Yearly Renewal \$25.00  
\$2,000.00 Bond Required

### **Precious Metals License**

Initial License- \$10.00  
Yearly Renewal \$10.00

### **Secondhand Dealers License**

Initial License- \$250.00  
Yearly Renewal \$100.00  
\$10,000.00 Bond Required

### **Combination Secondhand Dealers/Pawnbroker License**

Initial License- \$250.00  
Yearly Renewal \$100.00  
\$10,000 Bond Required for Both

Please make the above amount out to the Wallingford Police Department in the form of exact cash, money order or bank check.

## **Fingerprinting:**

You must pre-enroll to be fingerprinted and pay online for initial applications. If you lapse your renewal, you will need to be re-fingerprinted. The website to enroll is <https://ct.flexcheck.us.idemia.io/cchrspreenroll>. The service code specific to Wallingford Police Department fingerprints for Pawnbroker is **2301-CC4F**, Precious Metals is **E1C6-2F12** and Secondhand Dealer is **9FB7-8F44**. If you are applying for multiple licenses, you need to be fingerprinted for each license. Once you are pre-enrolled to be fingerprinted, you MUST bring a copy of the barcode with you to be fingerprinted. Please note, once you complete the pre-enrollment process and pay online, there are no refunds.

Fingerprinting services are available Monday, Wednesday and Friday from 10:00am – 11:00am. There is NO fingerprinting done on Tuesday, Thursday, Saturday and Sunday.

Please allow 8-12 weeks for the Police Department to process your application. If you have any questions regarding the status of your application, please contact the Wallingford Police Department Records Division at 294-2810. The Records Division is open Monday – Friday, 8:00 am – 4:00 pm.

Permits expire one year upon issuance.

## **Employee Background Checks:**

All employees must be listed on Page #3 of the application and will be subject to a background check. Please supply all the employee(s) date(s) of birth.

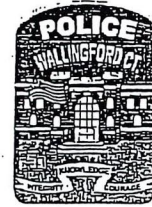
Please refer to the following website for requirements for above licenses:

[www.cga.ct.gov/current/pub/chap409.htm](http://www.cga.ct.gov/current/pub/chap409.htm) or [www.cga.ct.gov/current/pub/chap409.htm](http://www.cga.ct.gov/current/pub/chap409.htm)

# Application for Licensing under C.G.S. Chapters 409/414

Date of Application:

Date of Fingerprint:



Type of Application:

- ☐ Pawnbroker    ☐ Initial License fee \$50    ☐ Renewal \$25  
☐ Secondhand Dealer    ☐ Initial License fee \$250    ☐ Renewal \$100  
☐ Combination Pawnbroker/Secondhand Dealer    ☐ Initial License fee \$250    ☐ Renewal \$100  
☐ Precious Metal or Stones Dealer    ☐ Initial License fee \$10    ☐ Renewal \$10

**Wallingford Police Department**

135 North Main Street

Wallingford, CT 06492

Phone: (203) 294-2810

Fax: (203) 294-2874

Name of Business:

Type of Business:

Last Name of Applicant:

First Name of Applicant:

Middle Name of Applicant:

Applicant's Date of Birth:

Sex:

Place of Birth:

Race:

Age:

| BUSINESS ADDRESS                |                      | APPLICANT'S RESIDENTIAL ADDRESS |                      |
|---------------------------------|----------------------|---------------------------------|----------------------|
| Street Address:                 | <input type="text"/> | Street Address:                 | <input type="text"/> |
| Town or City/State:             | <input type="text"/> | Town or City/State:             | <input type="text"/> |
| Zip/Postal Code:                | <input type="text"/> | Zip/Postal Code:                | <input type="text"/> |
| Business Phone:                 | <input type="text"/> | Home Phone:                     | <input type="text"/> |
| Applicant's Current Occupation: | <input type="text"/> | Cell Phone:                     | <input type="text"/> |

**List all locations used or intended to be used for the purchase, receipt, storage or sale of property :**

| Physical address of property (include unit #) | City/Town & State, Zip Code | Use/intended use:    |
|-----------------------------------------------|-----------------------------|----------------------|
| <input type="text"/>                          | <input type="text"/>        | <input type="text"/> |
| <input type="text"/>                          | <input type="text"/>        | <input type="text"/> |
| <input type="text"/>                          | <input type="text"/>        | <input type="text"/> |
| <input type="text"/>                          | <input type="text"/>        | <input type="text"/> |

**List all of the residential addresses used by the applicant over the past five years:**

| Street Address       | City/Town & State, Zip Code | Dates resided from/to: |
|----------------------|-----------------------------|------------------------|
| <input type="text"/> | <input type="text"/>        | <input type="text"/>   |
| <input type="text"/> | <input type="text"/>        | <input type="text"/>   |
| <input type="text"/> | <input type="text"/>        | <input type="text"/>   |

☐ Check here if an additional sheet is attached for locations used by business for purchase, receipt, storage or sale of property

☐ Check here if an additional sheet is attached for applicant's residential addresses

Name of Applicant:

Date of Application:

**EMPLOYMENT HISTORY** (past five years)

**1. Current or most recent**

Name of Employer:

Name of last supervisor:

Dates of employment:

From:

To:

Complete Address:

Phone #:

Last job title:

**2.**

Name of Employer:

Name of last supervisor:

Dates of employment:

From:

To:

Complete Address:

Phone #:

Last job title:

**3.**

Name of Employer:

Name of last supervisor:

Dates of employment:

From:

To:

Complete Address:

Phone #:

Last job title:

☐ Check here if an additional sheet is attached for applicant's employment history

**PREVIOUS EXPERIENCE** Has applicant had previous experience in the type of business for which a license is being sought under this application?

Name of Business:

Name of last supervisor:

Dates of employment:

From:

To:

Complete Address:

Phone #:

Last job title:

☐ Check here if an additional sheet is attached for applicant's previous experience

Name of Applicant: Date of Application: **CRIMINAL HISTORY** List all crimes for which you have been convicted:☐ Check if you have never been convicted of a crime

| Crime | Date of Conviction | Court Where Convicted | Arresting Agency |
|-------|--------------------|-----------------------|------------------|
|       |                    |                       |                  |
|       |                    |                       |                  |
|       |                    |                       |                  |
|       |                    |                       |                  |

☐ Check here if an additional sheet is attached for criminal history**EMPLOYEES, PRINCIPALS IN BUSINESS, OFFICERS, SHAREHOLDERS, FINANCIAL BACKER or CREDITORS**

List all persons required to be reported under Chapter 409 of the C.G.S.

| Individual's Relationship to Business | Name | Address | Phone Number |
|---------------------------------------|------|---------|--------------|
| Select One <input type="text"/>       |      |         |              |
| Select One <input type="text"/>       |      |         |              |
| Select One <input type="text"/>       |      |         |              |
| Select One <input type="text"/>       |      |         |              |

☐ Check here if an additional sheet is attached for EMPLOYEES, PRINCIPALS IN BUSINESS, OFFICERS, SHAREHOLDERS, FINANCIAL BACKER or CREDITORS**INTERNET WEB SITES OR ACCOUNTS** List all Web sites and accounts required to be reported under Chapter 409 of the C.G.S.

|    |  |
|----|--|
| #1 |  |
| #2 |  |
| #3 |  |
| #4 |  |

☐ Check here if an additional sheet is attached for Internet Web Sites and Accounts

The information submitted by me in this application is the truth. I agree that if I have falsified any item in this application and in the attached \_\_\_\_\_ pages, I will not be entitled to the license sought or the license may be revoked or suspended if information is found to false after the license has been issued. I also fully understand that if I knowingly make a statement that is untrue and which is intended to mislead a law enforcement officer in the performance of his or her official function, I will be in violation of Section 53a-157 of the Connecticut General Statutes for False Statement and may be subject to arrest.

Date: \_\_\_\_\_ Signature of Applicant: \_\_\_\_\_  
(Must be signed in the presence of a Notary Public)

Subscribed and sworn to before me this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_\_, in accordance with the Connecticut General Statutes.

\_\_\_\_\_  
Signature of Notary Public\_\_\_\_\_  
Print Name of Notary Public

**Precious Metals or Stones Dealer Requirements**  
**Page 2**

|           |            |                |                  |
|-----------|------------|----------------|------------------|
| Last Name | First Name | Middle Initial | Name of Business |
|-----------|------------|----------------|------------------|

**Precious Metals or Stones Dealer Requirements (continued)**

A Precious Metals or Stones Dealer License is in effect for one year from the date it is issued. It does not allow you to engage in the business of a Pawnbroker or Secondhand dealer, as defined under Section 1 of Public Act 11-100, unless so licensed. A licensee seeking renewal of such license shall file an application for renewal at least sixty days before the expiration of such license. This license is not transferable. Any person who willfully engages in the business of a Precious Metals or Stones Dealer, unless licensed according to law, or after notice that his or her license has been suspended or revoked, shall be guilty of a class D felony. Any person who violates any provision of Section 12 of Public Act 11-100 or the requirements of the Commissioner of DESPP, for which no other penalty is provided, shall be fined not more than one thousand dollars.

As a person, as defined under Section 1 of Public Act 11-100, who is applying for a license from the State of Connecticut Department of Emergency Services and Public Protection (DESPP) to engage in or carry on the business of a Precious Metals or Stones Dealer at the address listed above, I understand and agree that if so licensed I will ensure compliance with the requirements of Section 12, of Public Act 11-100, as well as the requirements of the Commissioner of the Department of Emergency Services and Public Protection as listed above.

Date: \_\_\_\_\_ Signature of Applicant: \_\_\_\_\_  
(Must be signed in the presence of a Notary Public)

Subscribed and sworn to before me this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_\_, in accordance with the Connecticut General Statutes.

Signature of Notary Public: \_\_\_\_\_ Print Name of Notary Public: \_\_\_\_\_

My Commission Expires: \_\_\_\_\_.

Written communications should be addressed to: DESPP – Special Licensing and Firearms Unit Attn: Chapter 409/414 Licensing Section -1111 Country Club Road, Middletown, CT 06457-2389. The telephone number of the Special Licensing and Firearms Unit is (860) 685-8046, the Special Licensing and Firearms Unit fax number is 860-685-8496.

Connecticut Criminal History Request System  
Fingerprint Service Code Form

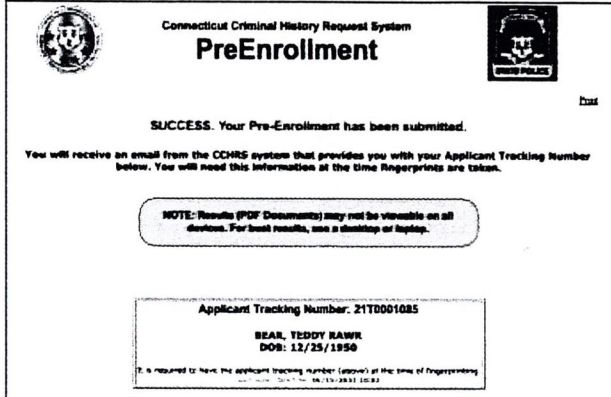
**Service Name: Precious Metals**

To register for your fingerprints to be taken, please visit  
<https://ct.flexcheck.us.idemia.io/cchrspreenroll> and enter the following  
Service Code:

**E1C6-2F12**

*Service Code is unique to your hiring/licensing agency. Do not use this code for another purpose.*

- After entering the Service Code, confirm the Fingerprint Reason by selecting the “Yes – This information looks Correct” option.
- Complete the Pre-Enrollment information as completely as possible. All fields in bold font/blue highlight are mandatory to move forward with the process. After filling out all applicable fields, move to the next section by selecting the “Submit Pre-Enrollment” button at the bottom of the screen.
- After completing the pre-enrollment steps, a confirmation screen will appear confirming registration is complete, including your Applicant Tracking Number. **This Tracking Number will need to be taken to your fingerprinting session. It will also be sent to the e-mail address you provided during registration.**



Connecticut Criminal History Request System  
**PreEnrollment**

**SUCCESS.** Your Pre-Enrollment has been submitted.

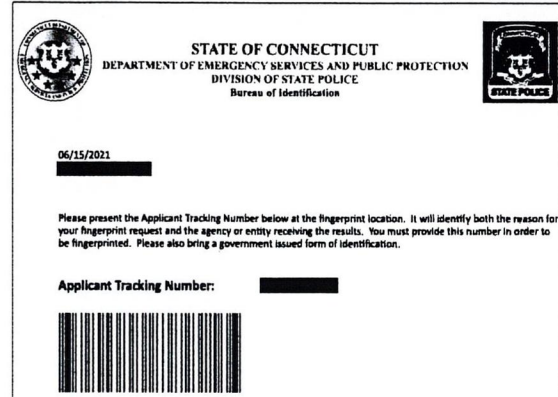
You will receive an email from the CCHRS system that provides you with your Applicant Tracking Number below. You will need this information at the time fingerprints are taken.

**NOTE:** Results (PDF Documents) may not be viewable on all devices. For best results, use a desktop or laptop.

**Applicant Tracking Number: 21T0001085**

**BEAR, TEDDY RAWR**  
**DOB: 12/25/1956**

It is required to have the applicant tracking number (above) at the time of fingerprinting.



STATE OF CONNECTICUT  
DEPARTMENT OF EMERGENCY SERVICES AND PUBLIC PROTECTION  
DIVISION OF STATE POLICE  
Bureau of Identification

06/15/2021

Please present the Applicant Tracking Number below at the fingerprint location. It will identify both the reason for your fingerprint request and the agency or entity receiving the results. You must provide this number in order to be fingerprinted. Please also bring a government issued form of identification.

**Applicant Tracking Number:** [Redacted]



## Noncriminal Justice Applicant's Privacy Rights

Requesting Entity: Wallingford Police Department

As an applicant who is the subject of a national fingerprint-based criminal history record check for a noncriminal justice purpose (such as an application for employment or a license, an immigration or naturalization matter, security clearance, or adoption), you have certain rights which are discussed below. **All notices must be provided to you in writing.** <sup>1</sup> These obligations are pursuant to the Privacy Act of 1974, Title 5, United States Code (U.S.C.) Section 552a, and Title 28 Code of Federal Regulations (CFR), 50.12, among other authorities.

- You must be provided an adequate written FBI Privacy Act Statement (dated 2013 or later), by the agency that will receive your criminal history results, when you submit your fingerprints and associated personal information. This Privacy Act Statement must explain the authority for collecting your fingerprints and associated information and whether your fingerprints and associated information will be searched, shared, or retained. <sup>2</sup>
- You must be advised in writing of the procedures for obtaining a change, correction, or update of your FBI criminal history record as set forth at 28 CFR 16.34.
- You must be provided the opportunity to complete or challenge the accuracy of the information in your FBI criminal history record (if you have such a record).
- If you have a criminal history record, you should be afforded a reasonable amount of time to correct or complete the record (or decline to do so) before the officials deny you the employment, license, or other benefit based on information in the FBI criminal history record.
- If agency policy permits, the officials may provide you with a copy of your FBI criminal history record for review and possible challenge. If agency policy does not permit it to provide you a copy of the record, you may obtain a copy of the record by submitting fingerprints and a fee to the FBI. Information regarding this process may be obtained at <https://www.fbi.gov/services/cjis/identity-history-summary-checks> and <https://www.edo.cjis.gov>.
- If you decide to challenge the accuracy or completeness of your FBI criminal history record, you should send your challenge to the agency that contributed the questioned information to the FBI. Alternatively, you may send your challenge directly to the FBI by submitting a request via <https://www.edo.cjis.gov>. The FBI will then forward your challenge to the agency that contributed the questioned information and request the agency to verify or correct the challenged entry. Upon receipt of an official communication from that agency, the FBI will make any necessary changes/corrections to your record in accordance with the information supplied by that agency. (See 28 CFR 16.30 through 16.34.)
- You have the right to expect that officials receiving the results of the criminal history record check will use it only for authorized purposes and will not retain or disseminate it in violation of federal statute, regulation or executive order, or rule, procedure or standard established by the National Crime Prevention and Privacy Compact Council.<sup>3</sup>

Updated 11/6/2019

If you need additional information or assistance, please contact:

Connecticut Records:  
Department of Emergency Services and Public Protection State  
Police Bureau of Identification (SPBI)  
1111 Country Club Road  
Middletown, CT 06457  
860-685-8480

Out-of-State Records:  
Agency of Record  
OR  
FBI CJIS Division-Summary Request  
1000 Custer Hollow Road  
Clarksburg, West Virginia 26306

|           |      |
|-----------|------|
| SIGNATURE | DATE |
|-----------|------|

This document must be retained by the Entity.

<sup>1</sup> Written notification includes electronic notification, but excludes oral notification.

<sup>2</sup> See <https://www.fbi.gov/services/cjis/compact-council/privacy-act-statement>

<sup>3</sup> See 5 U.S.C. 552a(b); 28 U.S.C. 534(b); 34 U.S.C. § 40316 (formerly cited as 42 U.S.C. § 14616), Article IV(c); 28 CFR 20.21(c), 20.33(d) and 906.2(d).

Requesting Entity: Wallingford Police Department

## FBI Privacy Act Statement

**Authority:** The FBI's acquisition, preservation, and exchange of fingerprints and associated information is generally authorized under 28 U.S.C. 534. Depending on the nature of your application, supplemental authorities include Federal statutes, State statutes pursuant to Pub. L. 92-544, Presidential Executive Orders, and federal regulations. Providing your fingerprints and associated information is voluntary; however, failure to do so may affect completion or approval of your application.

**Principal Purpose:** Certain determinations, such as employment, licensing, and security clearances, may be predicated on fingerprint-based background checks. Your fingerprints and associated information/biometrics may be provided to the employing, investigating, or otherwise responsible agency, and/or the FBI for the purpose of comparing your fingerprints to other fingerprints in the FBI's Next Generation Identification (NGI) system or its successor systems (including civil, criminal, and latent fingerprint repositories) or other available records of the employing, investigating, or otherwise responsible agency. The FBI may retain your fingerprints and associated information/biometrics in NGI after the completion of this application and, while retained, your fingerprints may continue to be compared against other fingerprints submitted to or retained by NGI.

**Routine Uses:** During the processing of this application and for as long thereafter as your fingerprints and associated information/biometrics are retained in NGI, your information may be disclosed pursuant to your consent, and may be disclosed without your consent as permitted by the Privacy Act of 1974 and all applicable Routine Uses as may be published at any time in the Federal Register, including the Routine Uses for the NGI system and the FBI's Blanket Routine Uses. Routine uses include, but are not limited to, disclosures to: employing, governmental or authorized non-governmental agencies responsible for employment, contracting, licensing, security clearances, and other suitability determinations; local, state, tribal, or federal law enforcement agencies; criminal justice agencies; and agencies responsible for national security or public safety.

As of 03/30/2018

*Note: This privacy act statement is located on the back of the FD-258 fingerprint card.*

|           |      |
|-----------|------|
| SIGNATURE | DATE |
|-----------|------|

This document must be retained by the Entity.

**Federal Bureau of Investigation  
United States Department of Justice  
Privacy Act Statement**

**Authority:** The FBI's acquisition, preservation, and exchange of fingerprints and associated information is generally authorized under 28 U.S.C. 534. Depending on the nature of your application, supplemental authorities include Federal statutes, State statutes pursuant to Pub. L. 92-544, Presidential Executive Orders, and federal regulations. Providing your fingerprints and associated information is voluntary; however, failure to do so may affect completion or approval of your application.

**Principal Purpose:** Certain determinations, such as employment, licensing, and security clearances, may be predicated on fingerprint-based background checks. Your fingerprints and associated information/biometrics may be provided to the employing, investigating, or otherwise responsible agency, and/or the FBI for the purpose of comparing your fingerprints to other fingerprints in the FBI's Next Generation Identification (NGI) system or its successor systems (including civil, criminal, and latent fingerprint repositories) or other available records of the employing, investigating, or otherwise responsible agency. The FBI may retain your fingerprints and associated information/biometrics in NGI after the completion of this application and, while retained, your fingerprints may continue to be compared against other fingerprints submitted to or retained by NGI.

**Routine Uses:** During the processing of this application and for as long thereafter as your fingerprints and associated information/biometrics are retained in NGI, your information may be disclosed pursuant to your consent, and may be disclosed without your consent as permitted by the Privacy Act of 1974 and all applicable Routine Uses as may be published at any time in the Federal Register, including the Routine Uses for the NGI system and the FBI's Blanket Routine Uses. Routine uses include, but are not limited to, disclosures to: employing, governmental or authorized non-governmental agencies responsible for employment, contracting, licensing, security clearances, and other suitability determinations; local, state, tribal, or federal law enforcement agencies; criminal justice agencies; and agencies responsible for national security or public safety.

**As of 03/30/2018**

## CHAPTER 414

# PURCHASERS OF PRECIOUS METALS AND STONES

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[Sec. 21-100. License required. Record-keeping system. Permitted activities. Sworn statement of transactions. Property retention requirements. Seizure of property by law enforcement officials. Penalty.](#)

[Secs. 21-101 to 21-110. Reserved](#)

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Sec. 21-100. License required. Record-keeping system. Permitted activities. Sworn statement of transactions. Property retention requirements. Seizure of property by law enforcement officials. Penalty. (a) No person may engage in or carry on the business of purchasing gold or gold-plated ware, silver or silver-plated ware, platinum ware, watches, jewelry, precious stones, bullion or coins unless such person is licensed as a precious metals or stones dealer by the licensing authority of the municipality in which such person intends to carry on such business; except that the provisions of this subsection shall not apply to the purchase of such items from a wholesaler by a manufacturer or retail seller whose primary place of business is located in this state. Such person shall pay an annual fee of ten dollars for such license. The license may be revocable for cause, which shall include, but not be limited to, failure to comply with any requirements for licensure specified by the licensing authority at the time of issuance. The licensing authority shall refuse to issue a license under this subsection to a person who (1) has been convicted of a felony, or (2) fails to provide evidence that such person holds the permit provided for in section [12-409](#), has a Connecticut tax registration number and is registered with the Secretary of the State to do business in this state. The licensing authority may require any applicant for a license to submit to state and national criminal history records checks. If the licensing authority requires such criminal history records checks, such checks shall be conducted in accordance with section [29-17a](#). For the purposes of this subsection "wholesaler" means a person in the business of selling tangible personal property to be resold at retail or raw materials to be manufactured into suitable forms for use by consumers.

(b) Any person who wilfully engages in the business of a precious metals or stones dealer unless licensed in accordance with this section or after notice that such person's license has been suspended or revoked shall be guilty of a class D felony.

(c) No licensee shall purchase gold or gold-plated ware, silver or silver-plated ware, platinum ware, watches, jewelry, precious stones, bullion or coins without receiving proof of the identity of the person selling the property if such person is not a wholesaler. Such identification shall include a photograph, an address, if available on the identification, and an identifying number, including, but not limited to, date of birth.

(d) (1) Each licensee shall maintain a record-keeping system in which shall be entered in English, at the time the licensee purchases any gold or gold-plated ware, silver or silver-plated ware, platinum ware, watches, jewelry or precious stones, a description of such property and the name, the residence address, the proof of identity as required by this section and a general description of the person from whom, and the date and hour when, such property was purchased and in which, if the property does not contain any identifiable numbers or markings, shall be included a digital photograph of such property. Except as provided in subsection (f) of this section, the description of any such property purchased by a licensee under this section shall include, but not be limited to, all distinguishing marks, names of any kind, including brand and model names, model and serial numbers, engravings, etchings, affiliation with any institution or organization, dates, initials, color, vintage or image represented.

(2) Any licensee who purchases bullion or coins shall, at the time of such purchase, enter in such record-keeping system in English a description of the bullion or coins purchased and the name, the residence address, the proof

of identity as required by this section and a general description of the person from whom, and the date and hour when, such bullion or coins were purchased.

(3) Each entry in such record-keeping system shall be numbered consecutively. Such number shall be visible in any digital photograph required under subdivision (1) of this subsection and shall be retained with the property purchased or received until the sale or other disposition of such property.

(e) Any state police officer or municipal police officer shall have access to any record required to be kept under this section and may inspect the place where the business is carried on as well as any property purchased or received. Any state police officer or municipal police officer who performs such an examination may require any employee on the premises to provide proof of such employee's identity. All records maintained pursuant to this section shall be retained by the licensee for not less than two years. The licensee shall maintain a place of business with a street address within this state, at which the property purchased or received and the required records shall be available for such inspection.

(f) The licensing authority may provide for an exemption from the requirements of subsections (d) and (e) of this section, or establish additional or different requirements concerning the description of any property purchased by a licensee, upon consideration of the nature of the property, transaction or business, including, but not limited to, articles in bulk lots or articles of minimal value.

(g) No licensee may purchase any property from a minor unless such minor is accompanied by a parent or guardian.

(h) Each such licensee may only pay for property received by check or money order and no cash shall be transferred to either party in the course of a transaction subject to the provisions of this section. The licensee shall retain the electronic copy of such check or other record issued by the financial institution that processed such check, and such copy or record shall be subject to inspection in accordance with this section as part of the record-keeping system. The licensee shall indicate on each such instrument the number or numbers associated with such property in the record-keeping system required to be maintained pursuant to this section. Any licensee who pays cash or cashes a check or money order shall be guilty of a class A misdemeanor. No licensee may advertise that he or she will pay for property received with cash.

(i) Any precious metals or stones dealer who is licensed in any city or town as a pawnbroker pursuant to section [21-40](#) may pay for property received pursuant to a precious metals or stones dealer license issued in accordance with this section in the manner authorized under section [21-42](#), provided such precious metals or stones dealer complies with all other provisions of this section relating to precious metals or stones dealers.

(j) At the time of making any purchase each licensee shall deliver to the person selling property a receipt containing the information required to be recorded in subsection (d) of this section, the amount paid for any property sold and the name and address of the purchaser.

(k) Upon request by the licensing authority, a licensee shall submit to the licensing authority, on a weekly basis or more frequently at the option of the licensee, a sworn statement of his or her transactions, describing the property purchased and setting forth the nature and terms of the transaction and the name and residence address and a description of the person from whom the property was purchased. Such statement shall be in an electronic format prescribed by the licensing authority. The licensing authority may grant exemptions from the requirement of submitting such statements in an electronic format for good cause shown. Such sworn statement shall not be deemed a public record for the purposes of the Freedom of Information Act, as defined in section [1-200](#).

(l) No licensee shall sell or dispose of any property, other than bullion or coins, acquired in any transaction in the course of business in less than ten days after the date of such transaction, and no licensing authority may require a licensee to refrain from selling or disposing of such property for more than ten days after the date of such transaction. The licensing authority may grant exemptions from the requirements of this subsection for good cause shown.

(m) Whenever property is seized from the place of business of a precious metals or stones dealer by a law enforcement officer, the officer shall give the dealer a duly signed receipt for the property containing a case number, a description of the property, the reason for the seizure, the name and address of the officer, the name and address of the person claiming a right to the property prior to the dealer and the name of the dealer. If the dealer claims an ownership interest in such property, the dealer may request the return of such property by filing a request for such property with the law enforcement agency in accordance with the provisions of section [54-36a](#). If the seller of any property purchased by the dealer is convicted of any offense arising out of the dealer's acquisition of the property and the dealer suffered an economic loss as a result of such offense, the court may, at the time of sentencing, order restitution to the dealer pursuant to subsection (c) of section [53a-28](#), which order may be enforced in accordance with section [53a-28a](#).

(n) Any person who violates any provision of this section, for which no other penalty is provided, shall be fined not more than one thousand dollars.

(P.A. 80-477, S. 1, 2; P.A. 81-204; P.A. 83-509, S. 2, 3; P.A. 97-47, S. 23; 97-164, S. 9; P.A. 01-175, S. 19, 32; P.A. 11-100, S. 12; P.A. 12-72, S. 2; P.A. 13-255, S. 1; P.A. 21-68, S. 2.)

History: P.A. 81-204 required a police chief or first selectman to refuse issuance of a license to persons convicted of a felony, allowed such officials to fingerprint applicants, provided that the price paid be included in the record and required that no cash be given in a transaction; P.A. 83-509 amended provisions of Subsec. (a) concerning licensure by providing that cause for revocation of license shall include, but not be limited to, failure to comply with any requirements for licensure specified by the licensing authority at the time of issuance; P.A. 97-47 substituted reference to "the Freedom of Information Act" for list of sections in Subsec. (e); P.A. 97-164 amended Subsec. (a) to require any fingerprints taken to be submitted to the F.B.I. for a national criminal history records check; P.A. 01-175 amended Subsec. (a) by making a technical change for purposes of gender neutrality and replacing language re fingerprinting and national criminal history records check with language re state and national criminal history records checks pursuant to Sec. 29-17a, effective July 1, 2001; P.A. 11-100 added new Subsec. (b) re penalty for wilfully engaging in business of a precious metals or stones dealer without a license or after notice that license has been suspended or revoked, redesignated existing Subsecs. (b) to (f) as Subsecs. (c) to (h), amended Subsec. (c) to add requirement for licensee to maintain a place of business within the state, amended Subsec. (e) to add provisions re penalty for licensee who pays cash for property received or cashes a check or money order, made conforming changes re definitions in Sec. 21-39a added by same act, and made technical changes; P.A. 12-72 amended Subsec. (a) to specify a person is licensed "as a precious metals or stones dealer", added new Subsec. (f) re manner of payment for property received by dealer who was licensed as a pawnbroker on March 31, 2011, redesignated existing Subsecs. (f) to (h) as Subsecs. (g) to (i) and made technical changes; P.A. 13-255 added Subsec. (a)(2) re failure to provide evidence of eligibility for license, amended Subsec. (c) to replace provisions re record keeping and identification of seller with provision re proof of identity of seller, added new Subsec. (d) re record-keeping system, designated provision in Subsec. (c) re police access to records as new Subsec. (e) and amended same to add provisions re providing proof of employee's identity and retention of records, added new Subsec. (f) re exemption from Subsecs. (d) and (e), redesignated existing Subsecs. (d) and (e) as Subsecs. (g) and (h) and added requirement in redesignated Subsec. (h) for retention of record of payment, redesignated existing Subsecs. (f) and (g) as Subsecs. (i) and (j), deleted former Subsec. (h) re weekly sworn statement, added Subsec. (k) re statements of transactions, added Subsec. (l) re retention of property for 5 days, added Subsec. (m) re seizure of property by law enforcement officer, redesignated existing Subsec. (i) as Subsec. (n) and made conforming changes; P.A. 21-68 amended Subsec. (i) re manner of payment for property received by dealer who is licensed as a pawnbroker and Subsec. (l) to replace 5 days with 10 days, effective July 1, 2021.

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Secs. 21-101 to 21-110. Reserved for future use.

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