State of Connecticut
Department of Emergency Services & Public Protection

Advisement of Precious Metals or Stones Dealer Requirements

Last Name	First Name	Middle Initial	Name of Business

Business address:

Number, Street, Unit No. *(No P.O. Box)*	City/Town	Zip Code

Precious Metals or Stones Dealer Requirements

As a person, as defined under Section 1 of Public Act 11-100, who is applying for a license from the State of Connecticut Department of Emergency Services and Public Protection (DESPP) to engage in or carry on the business of a Precious Metals or Stones Dealer at the address listed above you are required, if so licensed, to comply with the requirements of Section 12 of Public Act 11-100, as well as the following requirements of the licensing authority, the Commissioner of the Department of Emergency Services and Public Protection:

The Commissioner of the Department of Emergency Services and Public Protection will issue a Precious Metals or Stones Dealer License to an applicant for a specific business, location and town or city that is sought in the application. This license does not allow the licensee to engage in or carry on the business of a Precious Metals or Stones Dealer as any other business, in any other location or in any other town or city. The Commissioner of DESPP may revoke such license for cause which shall include, but is not limited to, failure to comply with any requirements for licensure specified by the Commissioner of DESPP at the time of issuance. Such license shall be displayed in a conspicuous location in the place where such business is carried on.

A "Precious metals or stones dealer" is defined under Public Act 11-100 as "a person who is primarily engaged in the business of purchasing gold or gold-plated ware, silver or silver-plated ware, platinum ware, watches, jewelry, precious stones, bullion or coins".

The Commissioner of DESPP requires a weekly sworn statement, describing the goods received and setting forth the name and address of each person from whom goods were purchased. Such sworn statement shall not be deemed a public record for the purposes of the Freedom of Information Act, as defined in section 1-200.

You shall demand positive identification from the person selling the article and such identification shall include a photograph, an address, if available on the identification, and an identifying number, including, but not limited to, date of birth. The type or form of identification received shall be noted in the record of the transaction. DESPP recommends that a photocopy or scanned image of the identification be retained.

Such record and the place where such business is carried on and all articles of property therein may be examined at all times by any state police officer or municipal police officer. Any state police officer or municipal police officer who performs such an examination may require any employee on the premises to provide proof of the employee's identity. DESPP recommends that such records be retained for not less than two years.

You may not purchase any property from a minor unless such minor is accompanied by a parent or guardian and the identification of such parent or guardian should be photocopied or an image of the identification should be scanned and retained in your records.

A licensee may only pay for property received by check or money order and no cash shall be transferred to either party in the course of a transaction. A licensee that pays cash or cashes a check or money order shall be guilty of a class A misdemeanor. No licensee may advertise or purport that he or she will pay for property received with cash.

At the time of making any purchase the licensee shall deliver to the person selling property a receipt containing a description of the property purchased, the price paid for the property and the name and address of the purchaser.

Initialed by applicant:

DPS-405-C New 10/1/2011

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Last Name	First Name	Middle Initial	Name of Business

Precious Metals or Stones Dealer Requirements (continued)

A Precious Metals or Stones Dealer License is in effect for one year from the date it is issued. It does not allow you to engage in the business of a Pawnbroker or Secondhand dealer, as defined under Section 1 of Public Act 11-100, unless so licensed. A licensee seeking renewal of such license shall file an application for renewal at least sixty days before the expiration of such license. This license is not transferable. Any person who willfully engages in the business of a Precious Metals or Stones Dealer, unless licensed according to law, or after notice that his or her license has been suspended or revoked, shall be guilty of a class D felony. Any person who violates any provision of Section 12 of Public Act 11-100 or the requirements of the Commissioner of DESPP, for which no other penalty is provided, shall be fined not more than one thousand dollars.

As a person, as defined under Section 1 of Public Act 11-100, who is applying for a license from the State of Connecticut Department of Emergency Services and Public Protection (DESPP) to engage in or carry on the business of a Precious Metals or Stones Dealer at the address listed above, I understand and agree that if so licensed I will ensure compliance with the requirements of Section 12, of Public Act 11-100, as well as the requirements of the Commissioner of the Department of Emergency Services and Public Protection as listed above.

Date: _____ Signature of Applicant: _____
(Must be signed in the presence of a Notary Public)

Subscribed and sworn to before me this _____ day of _____, 20_____, in accordance with the Connecticut General Statutes.

Signature of Notary Public: _____ Print Name of Notary Public: _____

My Commission Expires: _____.

Written communications should be addressed to: DESPP – Special Licensing and Firearms Unit Attn: Chapter 409/414 Licensing Section -1111 Country Club Road, Middletown, CT 06457-2389. The telephone number of the Special Licensing and Firearms Unit is (860) 685-8046, the Special Licensing and Firearms Unit fax number is 860-685-8496.

WETHERSFIELD POLICE DEPARTMENT

PROCEDURE FOR PRECIOUS METALS PERMIT

1. Application for license must be notarized prior to submission.

2. $10.00 fee to be paid at time of application and there is an annual renewal fee of $10.00

3. FBI and State fingerprint cards to made out and mailed for clearance.
   There is a $16.50 processing fee charged by the FBI for this service and a $25.00 fee charged by the State. Two postal money orders or certified bank checks in the amount of $16.50 and $25.00 made payable to "DPS" must be submitted at the time you are fingerprinted for this permit.

4. PURSUANT TO ***SECTION 21-100*** OF THE CONNECTICUT GENERAL STATUTES, THE CHIEF OF POLICE, IN HIS SOLE DISCRETION, MAY ISSUE A LICENSE. NO LICENSE SHALL BE ISSUED TO ANY PERSON WHO HAS BEEN CONVICTED OF A FELONY. A LICENSE ISSUED SHALL BE REVOCABLE "FOR CAUSE." ALL PAY OUTS FOR PURCHASES ARE BY CHECK OR MONEY ORDER AND THE CHECK CANNOT BE CASHED BY THE LICENSEE BUSINESS OR ON THE PREMISIS.

5. REQUIREMENTS:

   - Date and time item purchased must be recorded. Purchased items must be held intact for a minimum of twenty-one (21) days.

   - A digital photograph must be taken of the purchased item and attached to a spreadsheet or database file approved by the Chief of Police describing the item in full detail and the individual selling the item. The photograph and description shall be transmitted to the Wethersfield Police Department in accordance with the Connecticut General Statutes.

   - Price paid for purchased item.

   - Positive identification of the person involved in each transaction, including the name and address of the person selling the goods must be included (a photocopy of a valid driver's license or similar proof of his or her identity that includes a photograph of the person and his or her address. A digital photograph must be taken of the individual selling the item. This positive identification must be held on file for at least one year.

   - Submit all information electronically to police upon request from the Wethersfield Police Department or any employee or agent thereof, and must be submitted to the department on a weekly basis in accordance with the Connecticut General Statutes.

   - All employees are subject to a criminal records check.

   - Name all principles.

   - Specify all storage areas for the business, which shall be open for random inspections, including but not limited to those by the Wethersfield Police Department.

PURCHASERS OF PRECIOUS METALS AND STONES

Sec. 12 Section 21-100 of the general statutes is repealed and the following is substituted in lieu thereof (Effective October 1, 2011):

(a) No person may engage in or carry on the business of purchasing gold or gold-plated ware, silver or silver-plated are, platinum ware, watches, jewelry, precious stones, bullion or coins unless such person is licensed by the licensing authority of the municipality in which such person intends to carry on such business; except that the provisions of this subsection shall not apply to the purchase of such items from a wholesaler by a manufacturer or retail seller whose primary place of business is located in this state. Such person shall pay annual fee of ten dollars for such license. The license may be revocable for cause, which shall include, but not be limited to, failure to comply with any requirements for licensure specified by the licensing authority at the time of issuance. The licensing authority shall refuse to issue a license under this subsection to a person who has been convicted of a felony and may require any applicant for a license to submit to state and national criminal history records checks. If the licensing authority requires such criminal history records checks, such checks shall be conducted in accordance with section 29-17a. For the purposes of this subsection "wholesaler" means a person in the business of selling tangible personal property to be resold at retail or raw materials to be manufactured into suitable forms for use by consumers.

(b) Any person who willfully engages in the business of a precious metals or stones dealer, unless licensed in accordance with this section or after notice that such person's license has been suspended or revoked, shall be guilty of a class D felony.

(c) Each such licensee shall keep a record in which such licensee shall note at the time of each transaction a description of the property purchased and the price paid for them, the name and address of the person selling the goods and the date and hour any such property was received. Each such licensee shall demand positive identification from the person selling the article and the type or form of identification received shall have access to the record required to be kept under this section and may inspect the place where the business is carried on as well as any goods purchased or received. The licensee shall maintain a place of business within this state, at which the goods purchased or received and the required records shall be available for such inspection.

(d) No licensee may purchase any property from a minor unless such minor is accompanied by a parent or guardian.

(e) Each such licensee may only pay for property received by check or money order and no cash shall be transferred to either party in the course of a transaction subject to the provisions of this section. ***Any licensee who pays cash or cashes a check or money order shall be guilty of a Class A Misdemeanor.*** No licensee may advertise that he or she will pay for property received with cash.

(f) At the time of making any purchase each licensee shall deliver to the person selling property a receipt containing the information required to be recorded in subsection (c) of this section, the amount paid for any property sold and the name and address of the purchaser.

(g) Upon request of the licensing authority each such licensee shall make a weekly sworn statement, describing the goods received and setting forth the name and address of each person from whom goods were purchased, to the licensing authority of each municipality in which the licensee transacted business that week. Such sworn statement shall not be deemed public records for the purposes of the Freedom of Information Act, as defined in section 1-200.

(h) Any person who violates any provision of this section, for which no other penalty is provided, shall be fined not more than one thousand dollars.

ADMINISTRATIVE PROCEDURES FOR PERSONS SEEKING PAWNBROKER, SECONDHAND DEALER AND PRECIOUS METALS AND STONES DEALERS LICENSING

1. Complete the Application for Licensing under C.G.S. Chapter 409 (DPS-403-C)

2. Applicants are required to complete the appropriate Advisement of Requirements form(s) DPS-404-C1, DPS-405-C1, and DPS-405-C for each license you are applying for.

3. Bring the application, the Advisement of Requirements form(s) and any additional pages for the application process to a Notary Public and sign the appropriate forms in the presence of Notary Public.

4. Pawnbroker and Secondhand Dealer Application: Applicants, employees, officers, shareholders, financial backers or creditors or any other individual with a relationship to the entity that is similar to that of an officer, shareholder, financial backer or creditor are required to submit to state and national criminal history checks.

5. Precious Metal and Stones Dealer Application: Applicants are required to submit to state and national criminal history checks.

6. Criminal history checks require the submission of two complete sets of fingerprints, one on State of Connecticut Applicant Card (Green Card) and the second on a FBI Applicant Card (Blue Card). Fingerprinting can be completed at any law enforcement agency, Resident Trooper's Office, a State Police Troop (check with Troop for fingerprint times) or at DESPP Headquarters. Please note, fingerprinting for criminal records checks must be completed by a law enforcement agency. Fingerprints taken by the Department of Emergency Services and Public Protection are subject to a $15.00 fee.

7. Both fingerprint card for each individual fingerprinted are to be sent with the application to the Special Licensing and Firearms Unit.

8. The following fees will apply;

    a. Application Licensing or Renewal Fee

        i. Pawnbrokers initial fee $50.00, Pawnbroker Renewal fee $25.00

            1. If such person is also licensed as a Secondhand Dealer for such business the renewal fee and bond is waived only for the Pawnbrokers License.

        ii. Secondhand Dealer initial fee $250.00, Secondhand Dealer renewal fee $100.00

        iii. Precious Metal or Stones initial fee $10.00 Precious Metal or Stones renewal fee $10.00

    b. FBI Criminal Background Inquiry fee $16.50, separate check or money order

    c. State of Connecticut Criminal background Inquiry fee $50.00, separate check or money order

    The application packet must include (3) separate checks or money order for each of the fees listed above under a, b and c. All checks are to be made payable to *TREASURER, STATE OF CONNECTICUT.*

9. The application, advisement of requirements form(s), fingerprint card and checks or money order are to be sent to:

    DESPP – Special Licensing and Firearms Unit,

    1111 Country Club Road

    Middletown CT 06457-2389

    ATTN: Chapter 409/414 Licensing Section

10. Applications for licenses that require the filing of a bond at the time such license is issued will be contacted by the Special Licensing and Firearm Unit.

11. Upon issuing a license, SLFU will provide the applicant with a CD containing an EXCEL spreadsheet which will serve as the electronic document for submission of transactions.

Failure to comply with these procedures could result in the delayed processing of you application or renewal. Any questions should be directed to the SLFU Chapter 409/414 Licensing Section at 860-685-8046.

STATE OF CONNECTICUT

DEPARTMENT OF EMERGENCY SERVICES
&
PUBLIC PROTECTION
SPECIAL LICENSING AND FIREARMS UNIT

AUTHORIZATION FOR RELEASE OF PERSONAL INFORMATION

I,_____, do hereby authorize a review of and full disclosure of all records or any part thereof, concerning myself, by and to, a duly authorized agent of the State of Connecticut, Department of Emergency Services and Public Protection, Division of State Police, Special Licensing & Firearms Unit, whether said records are of a public, private or confidential nature.

The intent of this authorization is to give my consent for a full and complete disclosure of the records of educational institutions, financial or credit institutions, including records or deposits, withdrawals and balances of checking and savings accounts and loans, and also the records of the intent of this authorization is to give my consent for full and complete disclosure of the records of educational, commercial or retail credit agencies (including credit reports and/or), medical and psychiatric treatment and/or consultation, including hospitals, clinics, private practitioners and the U.S. Veteran's Administration, public utilities, employment and pre-employment records, including background reports, sufficiency ratings, real and personal property tax statements and records wherever filed, conviction records for violation of the law, including criminal and or traffic records, records of complaint of a civil nature made by or against me, wheresoever located, and to include the records and recollection of attorney-at-law or of other counsel, whether representing me or another person in any case, in which I presently have or have had an interest.

It is the intent of this authorization to provide full and free access to background and history of my personal life, for the specific purpose of pursuing a background investigation, which may provide pertinent data for the Connecticut State Police, Special Licensing & Firearms Unit, to consider in determining my suitability for licensing by that department. It is my specific intent to provide access to personal information, however personal or confidential it may appear to be, and the sources of information specifically enumerated about are not intended to deny access to any records not specifically mentioned herein.

I understand that any information obtained by the personal history background investigation, which is developed directly or indirectly, in whole or in part, upon this release authorization will be considered in determining my suitability for a permit by the Special Licensing & Firearms Unit. I fully understand that refusal to grant this authorization will not, or itself; constitute a basis for rejection of my application.

A photocopy of this release will be valid as an original hereof, even though the said photocopy does not contain an original writing of my signature.

_____ _____ _____
Signature Date of Birth Social Security # (Optional)

_____
Address, City, State, ZIP

STATE OF _____
SS

COUNTY OF _____, TOWN _____

Personally appeared_____, signer of the foregoing written authorization for release of personal information and made oath to the truth of the matters contained therein, before me.

_____
NOTARY PUBLIC, JUSTICE OF THE PEACE
OR COMMISSIONER OF SUPERIOR COURT

MY COMMISION EXPIRES: _____